UNDERSTANDING
FAMILY LITERACY:

CONCEPTUAL ISSUES
FACING THE FIELD

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Abstract

The relative absence of theoretical frameworks in the family literacy field presents problems in developing long-term agendas. However, it also creates opportunities for literacy specialists to examine conceptual issues for developing the field and determining its scope. This report explores these issues within the context of recent child/adult literacy, family development, and family support efforts, summarizing research and program factors that contribute to popular conceptions of family literacy. The report suggests that two related questions be examined: (a) what constitutes literacy support to families with varied cultural, social, and political histories and (b) how is the concept of family support defined and interpreted by literacy specialists who have vastly different notions about the purposes of literacy within families and about who decides what the purposes should be. The report concludes by providing conceptual considerations for the development of a framework and suggesting an integrative, interdisciplinary approach, distinctive but based in the larger family support movement.

INTRODUCTION

Family support initiatives within school and home contexts have received considerable attention over the past five years. Legislation such as the Family Support Act of 1988, efforts to integrate services for children and families, and discussions about the changing role of schools in supporting parents and communities have contributed to an expansion of research and practice in literacy—from child to adult development (U. S. Departments of Education and Health and Human Services, 1993). An emerging subset of this work examines the intergenerational nature of literacy and lifespan development of individual family members (Coleman, 1987; Smith, 1991).

Research in both adult literacy and literacy within K-12 schools acknowledges the role of the family as central to learners' valuing of education and persisting in school and program activities (Epstein, 1992; Stevenson & Baker, 1987). With less frequency, this work provokes discussion about the intersection between the goals and culture of the family as a unit, the real and perceived roles of family members within and outside the home, the issues of race and culture, and the ability of some families experiencing hardship to sustain their engagement in literacy learning and classroom activities—whether in traditional or nontraditional school contexts. The purpose of this report is to review these prevailing issues in the development of family literacy and to examine assumptions about families, family support, and learning upon which the concept of family literacy is being developed and which may dictate its future course.

Although research on parents and children has a long history, recent discussions, particularly popular notions, about family literacy have evolved largely out of programmatic and policy efforts. Much of the discussion that frames these efforts is predicated upon a cluster of educational and social problems facing children and families and the potential windfall for intergenerational poverty and school dropout. For example, in separate documents cited in literacy research reports, authors have noted that more than 20% of children and families in America live in poverty (U. S. Bureau of the Census, 1991); almost 70,000 children and youth are estimated to be homeless (GAO, 1989)[1]; urban high schools, similar to many in large metropolitan areas, report high percentages of students who drop out or simply become disengaged in the educational process (Farrell, 1990); and even for parents seeking literacy assistance, the demands of parenting and lack of economic stability outweigh parents' desires to gain access to sound literacy programs (Abt Associates, 1991). In studies over the past ten years, researchers also point to the high levels of literacy that often exist in homes (Taylor, 1983) or describe the family as educator (Leichter, 1974, 1984). Several other studies suggest that children in families where books and other reading material are available often perform better in school than children in homes where such material is unavailable (Allen & Mason, 1989; Edwards, in press; France & Meeks, 1987), while still others find that parents are motivated to improve their own literacy education in order to help their children achieve in school (Gadsden, 1988; Lytle & Schultz, 1991; Powell, 1991).

Programs that provide family and intergenerational literacy assistance are designed to improve literacy among adults (typically parents) in a family and aim to increase the likelihood that children in the family will experience fewer literacy problems than their parents (Powell, 1991; Strickland & Morrow, 1990). Programs based on this generic description of family literacy are found in schools, churches, community centers, libraries, and private agencies. Moreover, educational initiatives at different levels of policy aim to "incorporate the idea [of family literacy] into existing programs (compensatory education, adult education, Head Start, and welfare reform) and new programs built around family literacy (Even Start)" (RMC Corporation, 1992, p. 2).

The concept, family literacy, as currently used to describe programs, is relatively new, although the basis for much of the discussion is derived from a variety of domains (e.g., early reading/emergent literacy and parent-child relationships). Recent references to the concept are either located in larger discussions of family support efforts or embedded in the ability of programs to compensate for the inability of low-literate parents to assist their children's performance in school, particularly low-income, minority families (Morrow, 1992; RMC Corporation, 1992). Like family support initiatives in general, family literacy efforts aim to improve the developmental capacity and educational options available to family members. However, while there is ample evidence that family development is a compelling educational and policy issue, the status and future of family literacy are considerably more ambiguous. A major question then is where and how family literacy can be situated within the body of research and practice on parents and children, particularly in fields such as reading and human development. That is, how different, if at all, are the components of family literacy from the existing research in child and adult literacy? Is family literacy a field with special or unique instructional approaches and theoretical frameworks, is it a domain of study under the rubric of parent involvement, or is it simply an interesting concept which comfortably fits into current policy and political agendas?

The importance of these questions, and the responses, is heightened because of a sense of national urgency which seems to accompany the recent emphasis on family literacy. Indeed, few initiatives have more appeal than those that aim to help, educate, and protect children and their parents, many of them young and poor. Despite the appeal of these efforts, however, the mechanisms to ensure their success are only partially developed. Family literacy currently exists as an amalgamation of models and approaches with varying levels of empirical evidence to support claims of success or failure and with few theoretical frameworks. Studies that explore the parameters of literacy programs are limited, and the potential impact of the activities in them on the families that they are intended to serve is relatively unknown.[2]

Although adding to the ambiguity of family literacy, the relative absence of theoretical frameworks in the field creates latitude for literacy specialists to conceptualize the field and determine its scope. This report explores this field of opportunity, examining the issues within recent child/adult literacy, family development, and family support efforts. The report is divided into four parts: (a) research and program factors that contribute to popular conceptions of family literacy, (b) assumptions in expanding family literacy efforts, (c) linkages between literacy and family support, and (d) conceptual considerations for the field. Embedded in the discussion are questions of

what constitutes literacy support to families and how the concept of family support is defined and interpreted—particularly by literacy specialists who have vastly different notions about the purposes of literacy within families and about who decides what the purposes should be.

RESEARCH AND PROGRAM FACTORS

THE RESEARCH IMPETUS

Issues in family and intergenerational literacy have been at the center of discussions in education and the social sciences since the 1960s when researchers in reading, linguistics, educational and developmental psychology, and sociology attempted to identify factors in the home that contribute to children's success and failure in school. While research in this area, much of it an outgrowth of the War on Poverty, focused primarily on the African-American community, the findings of some studies also provided a context for understanding many of the problems facing families targeted for family literacy programs today. Durkin (1966, 1974-75) studied home (parent) variables in the reading development and reading performance and behaviors of Black children from low-income homes in Chicago. Labov (1965) examined the linguistic elements associated with Black children's code structures within urban homes and communities and the impact of these structures on literacy activities such as reading. Coleman (1966) described the status of Black families in America and the plight of Black children in gaining access to education. Billingsley (1968) examined the sociology of Black families, while Blassingame (1972) wrote about Black families in historical perspective.

More recently, research on children and families learning literacy has focused on cross-cultural and social issues (e.g., Bloome & Willetts, 1991; Delgado-Gaitan, 1987; Heath, 1983; Taylor, 1983; Taylor & Dorsey-Gaines, 1989; Weinstein-Shr, 1991) or on conceptualizations of family ecology, family within school contexts, and mother-child interaction (e.g., Baker & Stevenson, 1986; Bronfenbrenner, 1986; Epstein, 1992; Scott-Jones, 1987). Collectively, these studies and a large complementary research base from multiple domains demonstrate not only a relationship between children's performance in school and parents' literacy levels (and literacy practices in the home) but also the importance of understanding the nature of the family itself, its function as a social unit, and the uses of literacy within home contexts.

Studies on early reading and emergent literacy have contributed to the research impetus for family and intergenerational literacy, both expanding conceptualizations of literacy and focusing on a variety of human and environmental factors in literacy learning. Teale and Sulzby (1986) examined children's first or early representations of and uses for literacy and discussed parents' roles in this early developmental stage of literacy. Hiebert and Adams (1987), in one of the few studies to examine fathers' responses, focused on the impact of home influences on young children's print development and parents' perceptions and knowledge of children's literacy.

Allen and Mason (1989) described how parents use print with children, applying different genres and strategies. Wigfield and Asher (1984) demonstrated that parents' attitudes and expectations for their children's performance are good predictors of children's attitudes toward learning, effort in school, and classroom performance.

Studies in family literacy are located often in two dominant, sometimes overlapping, positions on literacy learning in the home. The first suggests that parents' literacy has a significant influence on children's motivation to acquire, develop, and use literacy. Physical environments, such as homes, in which books are available become a positive influence on children's sense of efficacy with print (Allen & Mason, 1989; Briggs & Elkind, 1977; Snow et al., 1991; Von Fossen & Sticht, 1991). Leichter (1984) found that when parents have levels of literacy that allow them to feel competent with print, speech, and other literate behaviors, the availability of books in the home increases and the quantity and quality of interactions between parents and their children may be enhanced. Thus, parents are able to assume new roles helping children to acquire literacy and guiding their children's learning. Rogoff (1985, 1990) observes that in cases where parents and children participate in problem solving together with large amounts of verbal interaction, there is effective parent-child interaction in many domains.

A second position is based on the notion that literacy may serve a liberating and empowering purpose for children and parents. This position is developed around the view that parents who gain a sense of personal control as a result of literacy, and who are given opportunities to make choices (i.e., accept or reject alternatives to their current practices), serve as role models for the importance of literacy to personal success and power (Auerbach, 1989; Street, 1992; Taylor, 1987; Taylor & Strickland, 1989). Research bearing on this theme suggests that the development of literacy within many homes may be constrained by social distance (created as a result of misunderstanding or lack of information) between the family and the program. This distance may be perpetuated through lack of knowledge and inattention by literacy providers to cultural beliefs and practices in the home and community, literacy needs of family members, and expectations of literacy learning by children and parents. The distance may be exacerbated by a perception within families that literacy is inaccessible for children and adults because of social or cultural differences, institutional barriers within society, or learners' own past negative experiences with literacy learning and instruction (Coles, 1984; Johnston, 1985). In the case of either of these situations, family members may come to see the price of literacy as being too high or associate literacy with real or perceived tradeoffs between the social forces of home and culture and the requirements of literacy and schooling (Gadsden, 1991).

A core of research studies on parent-child literacy suggests that children have a decided advantage when they are read to and when reading materials are available; other studies find children learning to read without ever seeing their parents read or having many reading materials in the home. This research, beginning with Durkin (1966), supports the idea that the level and nature of the interaction between parents and children in home settings is the most critical factor. Heath (1982, 1983) found that in the homes of "mainstream" families, parents supported their children's literacy development by asking questions, engaging them in conversations about

events, and reading bedtime stories. What seemed to be an important contributor to the children's literacy development was parents' availability to interact with their children in a way that approximated approaches used in school contexts.

Much of research and practice on parent-child literacy is developed around a view of families in low-income homes—disproportionate numbers of whom are families of color—as "lacking in literacy" (Sulzby & Edwards, in press). Parents in these homes are described often as not having the skills and knowledge to engage their children in the types of activities that are expected in school. Thus, the ability to complete some school-related tasks of reading and writing may be equated erroneously with literacy as problem-solving ability.[3] Some forms of problem-solving ability (i.e., everyday cognition), often encouraged and supported in these homes, may be overlooked because they are neither mainstream nor easily assessed.

Cochran (1987) notes that literacy studies have been developed disproportionately and consistently around a deficit model, despite challenges to this perspective over the past 25 years. Among the studies to challenge the claims of deficit is research conducted by Anderson and Stokes (1984) with African-American, Anglo-American, and Latino families. The researchers suggest that many literacy experiences that occur in homes of poor families (e.g., paying bills, reading a television guide, and Bible reading) are overlooked because they do not conform to "mainstream" school-like literate events and are not used as ways to engage learners. Taylor and Dorsey-Gaines (1988) describe the difficult lives of "poor" African-American families and focus on school-like practices that occur in the families, such as a young mother who reads aloud to her children as a way of helping them learn to read and write. Parents with little economic means drew on school-like models and memories of reading instruction to help their children. Findings from a study conducted by Schieffelin and Cochran-Smith (1984) raise questions about the single-mindedness of literacy as a set of activities and actions around print alone. They observed that children were successful in school although their parents did not read to them, did not have reading materials, and did not provide the children with reading instruction. Yet, in these homes, oral and written literacies were valued commodities (particularly becoming literate in English), encompassing functional, relevant activities (Gadsden, 1993).

Research on parent-child literacy and family literacy provides several options to examine the relationship that exists between literacy learning in school and at home. Although this research embraces and promotes a potentially rich data source about the role of context-based literacy, it is constrained by a relatively narrow perspective both in the populations studied (e.g., young children learning to read or experiencing reading problems in early grades) and the unidirectionality of the research (e.g., how learning is transferred from the classroom to home rather than across multiple contexts). In adult literacy, relatively little work examines how literacy is used or program participation negotiated within home and family contexts, despite anecdotal evidence and practitioner reports that for many adult learners, women in particular, participation in programs strains their relationships within the family and home (Gadsden, 1993; Taylor, 1993). The intergenerational nature of literacy within a lifespan perspective requires a longitudinal, multilevel approach to studying literacy across generations.

Emerging as a critical domain, the lifespan/lifespace perspective begins to focus on the uses of literacy within family context to construct life views and life plans and to mediate or parse life demands (Reder, Wikelund, & Hart-Landsberg, 1992; Wagner, 1992).

Recent research on families and intergenerational learning increasingly focus on social and contextual issues once outside the traditional domains of literacy and learning research, such as gender and culture-specific issues, problems facing homeless children and families which are transitional issues for Head Start families, and the impact of racism, joblessness, and chronic poverty (Biggs, 1992; Lytle & Cantafio, 1993; Slaughter, Washington, Oyemade, & Lindsey, 1988; Taylor, 1993; Zigler & Styfco, 1993). Still understudied, these research areas have begun to provide compelling insights into the nature of self-sufficiency[4] and the role of family, school, and community as social systems and as systems of support. A basic issue that persists, however, concerns how to translate existing research for current program efforts and how to develop new research that helps to define the field, that complements the development of programs, and that responds to the question of what constitutes practice and curricula in family literacy.

THE PROGRAM STRAND: THE IMPETUS FOR PRACTICE

Although several program models have been developed, there is still little known about the design of family literacy programs in general. What is known appears to be an outgrowth of reports about program models, the most widely known being the *Kenan Model* of the National Center for Family Literacy. However, several other models, curricula, and strategy packages have been developed over the past few years, such as *Parents as Partners*, developed by Edwards (1990); the Missouri *Parents as Teachers* program, developed by Winter and her colleagues (Winter & Rouse, 1990); Home Instruction Program for Preschool Youngsters (HIPPY); and Even Start initiatives. In addition, parent-child reading curricula and onsite programs have been developed by researchers and researcher-practitioners such as Strickland and Morrow (1989), Paratore (1992), and Handel and Goldsmith (1989). While these programs may differ in methodology, they either have been referred to or have referred to themselves as family literacy or family education programs. The differences in the structure and content of the programs and the possible outcomes appear to be modest in some cases, although the interpretations for practice, stated purposes, or ideological bases of the projects may vary substantially.

The size and format of family literacy programs run the gamut, from small after-school projects to large classes. In some programs, adults may work alone in one room while their children work in another room on separate literacy activities; in other programs, adults and children work together around a common activity designed to improve the adult and child's literacy (Nickse, 1991). Some programs include home visits and group parent sessions. Curricula often bring together ideas and materials in a variety of areas. Parents and children may be in the program for a full day or part of a day. For example, the *Kenan Model* combines its own strategies with approaches in the *High Scope* model (Weikart & Schweinhart 1993) to assist children while parents attend adult literacy classes. Edwards' (1990) *Parents as Partners* is used by teachers in school settings to assist parents

with children's early reading. The *Parent Readers* program, developed by Handel and Goldsmith, works with mothers in community college to teach strategies about book reading and sharing to develop an appreciation of literature.

From the 1970s to the present, several parent-child programs have grown out of reading research. Although recent emphasis in this area focuses on book reading (Edwards, in press; Pellegrini, Brody, & Sigel, 1985), approaches used in studies over the past 20 years and the resulting programs have varied, ranging from direct reading instruction for parents to preparing parents to mentor their own children. Some programs in the 1970s were designed to assist parents in constructing reading games and to promote their children's beginning reading, for example, Vukelich's (1978) Project PROP (Preschool Readiness Outreach Program); others emphasized parent-child book reading, such as the "Get Set" program in Philadelphia (Swift, 1970). Several programs encouraged parents to become more involved in their children's reading in school, for example, Crosset's (1972) PPR (Parent Participation in Reading program); still others involved parents as tutors for their children, for example, McWilliams and Cunningham's (1976) Project PEP (Parents Encourage Pupils).

The typologies of literacy programs serving families range from parent involvement to parent-child book reading projects. They typically are designed for targeted adult and parent populations, including adults who have been labeled "at-risk," adults who are educationally disadvantaged, "newly literate adults, adult literacy students, teen parents in welfare families, mothers in prison, and parents of children in federally funded educational programs, such as Head Start and Chapter 1" (Nickse, 1989). Programs may be defined more broadly as intergenerational or multigenerational but include only a limited literacy strand. They may involve adults and children in one family or simply pair one child in a family with an adult outside the family who volunteers to assist the child by tutoring, book reading, or mentoring. Programs may be culturally focused (e.g., a Chicago program using an Afrocentric approach and a Los Angeles program designed to meet the needs of Mexican-American families), or they may be generic in their programmatic appeal. They may respond to the goals of a small community network, or they may be appended to existing adult literacy programs. They sometimes use a panoply of approaches, or they may be built around a single philosophy or strategy. Programs may involve a parent and child from the same family or may include a child and an adult family member other than the parent (e.g., grandparent, uncle, or aunt). Rarely, however, do programs expand to include more than two members within a single family (typically a parent and a young child) around a set of teaching and learning approaches.

Although several field studies and policy reports describe the variety of models that have been developed (e.g., U. S. Department of Education Even Start Report, 1993), few studies have been conducted that reveal the relative impact of the programs: that is, whether the learners and their families consider the programs effective, useful, or appropriate; how adult learners use literacy for their own development and to help their children; and whether children's school performance improves. A literature search recently conducted by the National Center on Adult Literacy (Gadsden, Scheffer, & Hardman, in press) found that, with the exception of a small cohort of studies, most reports provide "how to" information: that is, how to develop a

program, how to use existing organizational structures to create a program, how to get parents interested, or how to obtain funding and other support (see Brizius & Foster, 1993, for a review of the issues emerging from efforts at the National Center for Family Literacy). Some states (e.g., Knell & Geissler, 1990) have published materials that describe the range of programs sharing the label family or intergenerational literacy. The description of programs in such publications often accommodates or responds to the growth of programs in the state and the desire for funding to expand existing adult literacy efforts. Few reports or reviews describe a conceptual framework for learning and instruction or refer to the need for instructional frameworks. Programs may be driven by service delivery issues alone; developed around a compilation of strategies, sometimes neither culturally appropriate nor widely studied; or complemented by a limited body of research on literacy and families (i.e., learning and instruction, family development, or family systems).

The characteristics of programs often contrast, providing multiple—and sometimes confusing—images of how efforts in the field might be defined. What is needed are guiding principles and understandings about how families, across cultural and social backgrounds, use literacy to make sense of the world and how literacy assistance can help them to do so most effectively. Although some of the existing models provide guidelines and approaches to working with parents and children, they have not been developed around a coherent set of theories or supported by research that will help to integrate new findings or compare approaches. Conceptual frameworks that enable the field to conduct action research and to assist practitioners to create innovative strategies are critical to the expansion of family literacy practice. In the next section, the beginnings of a framework drawn from multiple disciplines are provided.

FAMILY LITERACY SUPPORT: FIVE ASSUMPTIONS

In discussing the limitations of family support programs, Walker and Crocker (1987) suggest that the programs often are developed around a set of understandings, information, and assumptions that are as likely to misinform as to inform the effort. Unlike the broad area of family support, family literacy reduces this margin of misunderstanding by targeting a specific area of assistance and study. Like the family support effort in general, however, family literacy efforts are developed around assumptions about problems and content in the field and about the characteristics of the populations studied. Five major assumptions about the nature of families, history of family support, and potential viability of research and practice in family literacy are described below as the basis for a conceptual framework.

FAMILY AS MEDIATOR

The focus on the family as a mediator for literacy predates the recent emphasis by almost 100 years (Monaghan, 1991). Early definitions restricted literacy instruction to the inculcation of religious and behavioral standards within families, primarily the role of parents in ensuring the religious training of children (Bailyn, 1960; Calhoun, 1960; Clifford, 1984). These included studies of family structure and governance (Demos, 1970), psychological and sociological factors affecting family learning (Harari & Vinovskis, 1989; Moran & Vinovskis, 1985), and the "emotional texture of family experience" (Smith, 1982, p. 5).

Current family literacy spans K-12 to adult literacy and exists in a variety of forms. Some family literacy advocates liken the effort to previous work conducted with parents and children (Teale, 1981; Topping & Wolfendale, 1985), while others (e.g., the National Center for Family Literacy, 1993) argue that the current focus on family literacy recognizes it as a unique concept, unlike models restricted to the study of children and parent reading. On the one hand, family literacy as a research domain is not new, although the specific focus on relationships among family members and literacy is relatively recent. Particularly in adult literacy, the focus on learners' families as crucial to adult participation, until recently, has received little attention. However, research in areas such as emergent literacy and parent-child literacy contributes significantly to discussions in the field and to the view that family members play a role in literacy learning. Table 1 in Appendix A presents an overview of some relevant studies.

Much of the research, particularly studies over the past ten years, has been developed around context-based learning and de-emphasizes literacy as a set of discrete skills and abilities; instead, these studies focus on relationships between learners' development of literacy and their social and cultural contexts for using literacy (Paris & Wixson, 1987). Here, the home is seen as a critical social context for learning. Literacy, the desire to learn it, and the ability to achieve it are inextricably tied to learners' perceptions of access—informed and affected by a myriad of social and cultural precepts and consequences within the family. Studies developed out of this framework focus on the relationship between family life and reading (e.g., Durkin, 1966), parents' facilitation of emergent literacy (e.g., Teale & Sulzby, 1986), bidirectionality of learning and ecology of the family (e.g., Bronfenbrenner, 1986); literacy within families from diverse cultural and ethnic groups (e.g., Heath, 1983; Taylor & Dorsey-Gaines, 1989), and literacy interactions in the home (e.g., Edwards, in press; Snow et al., 1991).

On the other hand, family literacy is a new concept with wide-scale implications for schools, literacy programs, practitioners, and administrators. This is, in part, the result of the redistribution of funds across federal agencies to support family literacy efforts in multiple settings (e.g., K-12) and is less the result of a transformation in research or practice. What sets current work apart from previous efforts in the field is the notion that a program potentially may provide literacy assistance for both a parent and child at the same time and within the same context. That is, while a child is learning to read, so might the parent. How, whether, and the degree to which this actually occurs has not been investigated or reported widely. What is

lacking still is a way of helping programs understand, gauge, and address the needs of a wider net of family members seeking literacy assistance.

Adding to the discrepancy about the origin of family literacy is the apparent difference in the goals of research and objectives of programs. Research studies that are broadly considered family literacy focus on specific teaching approaches for children and parents and the impact of parents' literacy on children's school readiness and school success (Nuckolls, 1991). The goals of family literacy programs are both practice-based and policy-driven, ranging from "breaking the cycle of illiteracy" within families, to improving America's economic position in the marketplace, to assisting the family in becoming a system of self-sufficiency (Brizius & Foster, 1993). Although these overlap in many cases, they result in programs with highly varied missions and purposes.

Family literacy represents an old idea, perhaps never fully developed, rather than a new concept. It is carved out of family support efforts that must integrate a wide range of social service, health, educational, and human needs of families. However, what has been lost typically in the discussions in both family support and family literacy is the family itself and the realities of the lives, problems, and goals of individual family members. Whether the revisit to the concepts in family support can integrate effectively literacy options for parents, children, and other family members depends, in part, not only on how well the goals of research and programs can be coordinated but also, in large measure, on whether family members themselves are key actors in determining their roles in their own literacy development, not simply defined as the product or recipients of an effort.

PROGRAM GOALS IN RESPONSE TO FAMILY EXPECTATIONS

Research studies over the past few years have called into question the degree to which instructional practices and program goals represent and integrate the expectations and goals of learners (Delpit, 1988). Although this issue does not originate in family literacy, it is an important concern for programs aiming to address the literacy needs of multiple family members or individuals with varied social, cultural, and political histories and experiences—sometimes within the same family. The family itself has only recently been relied upon as a resource for program development (Bauch, 1989). Despite the history of family support in the United States (Kagan, 1987), only within the past ten years has research or practice in literacy focused on the family as the center of educational change, that is, where change can or should occur (Brooks-Gunn, Schnur, & Lee, 1990). To understand where, when, and how change can occur requires understanding families, increasing numbers of which are families of color. Historically, programs for these families have been prescribed with little knowledge of the family as a unit—its culture, its beliefs, or its expectations.

The importance of matching program goals and family expectations was a central issue in educational and policy discussions throughout the mid-1960s and 1970s. Research on families focused on what researchers labeled discontinuity between family and school life, that is, family-specific characteristics that created problems for children attempting to make the transition from home to school (Hess & Hollaway, 1984). The apparent mismatch between home and school existed often in the level of

independence that learners demonstrate in the classroom (Epstein, 1983); in learners' cultures, experiences, and prior modes of communicating ideas and responding to teachers (Au, 1980); as a result of inconsistency between learners' expectations of the teacher as authority and the teacher's willingness to accept the role as an authority figure (Foster, 1991); or in the language experiences of children in families and the culture of school (Heath, 1983). Discontinuities were found to result not simply from a mismatch between the structure of school and home but also from misunderstandings about the importance assigned to literacy activities, attitudes, and practices within these two contexts.

Research on parent involvement and home-school partnerships examines the issue of continuity through a variety of typologies for ensuring parent participation in children's school learning (Epstein, 1992). Some of this work is rich in its examination of the intricacies of parent participation in children's learning and school organization while other research has as its goal identifying complementary roles and relationships between parents and school personnel; the second may be less concerned with providing direct instructional assistance to parents and other family members. In comparison, family literacy programs generally describe their purpose as improving parents' literacy to ensure the literacy development of the child (Nickse, 1989); less attention has been given to the quality of the relationship between the program and parent or the parent and child. The success of these literacy programs in achieving their goals depends largely on their capacity to capture the purposes and goals of the children and families served. In some cases, these goals may be consistent with those of the programs or may be a subset of the programs' purposes; in other instances, they may bear no resemblance to the program's mission.

LITERACY LEARNING AND FAMILY CONTEXT: DEVELOPMENTAL PROCESSES

Families create and sustain environments which ultimately affect the development of the individuals in them. When changes occur for the individual family member, they occur for the family as well. After adults decide to enter literacy programs, they change not only the schedule of their own lives but also their roles and the expectations of them within the family. We know from developmental studies and educational research that family environment is important in the value attached to literacy and learning and the ability of individuals within the family to be academically successful. Depending on the nature of family, some components of the same family environment may be "shared" by individual family members while others are "nonshared." Thus, the family itself can affect individuals differently, creating "both similarity and dissimilarity among family members" (Kreppner & Lerner, 1989, p. 6). We know that family climate influences children, for example, parents' child-rearing attitudes (Dunn & Stocker, 1989; Powers, 1989); yet, as Rowe and Plomin (1981) found, siblings brought up in the same family may differ from one another on standard measures of personality and psychology, and to some degree cognition, almost as much as do unrelated children raised in separate families.

With increasing frequency, literacy issues are being included in interdisciplinary discussions of family development (e.g., Society for Research in Child Development, 1993), from developmental psychology to

medicine. Family development research attempts to examine the lifespan human processes by individuals within home context. The family member is seen as a "transformer and the transformed" (Kreppner & Lerner, 1989). The traditional unidirectionality of influence going from the parent to the child is broadened, and learning and developmental processes of children, for example, are seen as potentially having an impact on the parents' adult development. Individuals and their contexts are thought to have a reciprocal, continuous, and mutual influence. Each period of an individual's life from childhood through middle and older adulthood is studied to understand life needs, life cycle, and the impact of critical periods on family life (e.g., times during which the family is forced to reorganize its relationship network to cope with the changing demands and interest of the individual members).

An understanding of the family as a system and of the cultural and social structure of families served by literacy programs is central to engaging learners in programs and sustaining their participation over time. The purposes and uses for literacy may be a part of the shared environment within the home and community, or it may be the individual learner's construction. How learners construct their expectations and perceive their ability to achieve their literacy goals, however, is affected by developmental and contextual structures within the family which may serve as either incentives or barriers to learning.

CULTURAL AND SOCIAL PRACTICES OF FAMILIES

Recent research indicates that family literacy efforts that do not build on the strengths of families may succeed for parents and children in the short term but fail on a long-term basis. The emphasis on identifying and using what is referred to as "family strengths" is developed around a competencies model in which families access and utilize resources effectively. Although these strengths have rarely been delineated clearly, social connectedness of the family to others in the community is a frequently identified variable in successful family support programs as are culture-identified relationships between children and parents (Family Impact Seminar, 1991; Ferdman, 1990).

In several studies on families of color, researchers identify variability in the approaches to literacy, relationships among parents, children, and among family members as well as expectations within the family as a function of culture. Willett and Bloome (1992) show that over time children began to experience tension, anger, hostility, resistance, and alienation in their relationships at home when the literacy experiences did not enable parents to participate. Delgado-Gaitan (1987) found that Mexican-American parents wanted a better life for their children but often used systems of support that did not mirror those of the dominant American culture. Weinstein-Shr (1991), referring to her work with Cambodian families, focuses on the degree to which the western-centered, time-honored view of history and culture constrains the opportunities for children and parents of other cultures to develop literacy. Work by Gadsden (1992) suggests that for African-American families, perceptions of power, powerlessness, and access are inseparable in intergenerational messages about the value of literacy or the nature or access.

There is relatively little research on parents' concerns about the relationship between literacy and culture (Quintero & Cristina-Velarde, 1990). One exception is Wong-Fillmore's work (1990) which provides interesting parent accounts about the importance of sound early educational programs that are also culturally sensitive (see also Wong-Fillmore & Britsch, 1988). The perception of literacy as socially enabling surfaced in interviews with mothers in a current study with Head Start parents and children (Gadsden, Hardman, & Scheffer, 1992). Parents described literacy in relation to its socially enabling qualities, that is, its ability to empower children and adults to build on both the school-like nature of literacy and the broad contexts for literacy learning and use. In another study (Gadsden, 1992), parents defined access in specific socially and culturally contextualized ways, stressing the potential impact of literacy for ensuring power and success for future generations.

Although there is evidence of the importance of cultural and social practices in framing context, several critical domains of thought are unexamined. One policy issue with implications for research and practice focuses on institutional barriers to access and the perceptions about the (in)surmountability of these barriers. A related question centers on whether parents and children perceive that literacy can and will make a difference in their lives. The second issue concerns how to translate and make accessible to practitioners and policymakers what is known about culture, ethnicity, race, and gender in developing instruction and policy for different populations. The critical questions here are bound to culture and context, not only for the populations studied and served but also in reference to the assumptions and beliefs with which the researchers and practitioners working with the families enter the research and instructional setting.

COMPETING PERSPECTIVES: REDUCING CONCEPTUAL CONFLICT

Where conflicts or competing ideologies occur, they do so largely because of ambiguity and terminology. Alexander, Schallert, and Hare (1991) state that fields of study can be identified by the language associated with them. Just as there has been spawning of terminology in literacy, so has there been an increase of efforts in family literacy support. As is true of literacy, this rapid growth of interest may have serious theoretical and practical implications if put forth outside of its relationship to existing work. Most of the competing references in family literacy are framed around reciprocal learning relationships between parent and child: that is, what parents want for their children and for themselves, what investments they are willing to make, and how educational programs enable them to achieve their personal, academic, and life goals and needs.

At the heart of these issues lie two premises which guide much of the work that is currently done in studies on families and literacy. One premise interprets literacy as performing school-like academic activities within family contexts. In this view the social and contextual characteristics of the family unit may be seen as potential obstacles to overcome in order for learning to occur and focuses on teaching parents strategies and approaches to assist their children, using school-like models (see Auerbach, 1989 for a comparative analysis). The second premise focuses on the family as a source of information and literacy learning, not as a barrier to literacy, and is developed

around the view that the literacy practices already used in the home should serve as the basis for instruction. The acquisition of literacy skills is seen in relation to its contexts and uses (Heath, 1983; Street, 1984, 1992). Family practices and interactions are examined to understand the functions, uses, and purposes of literacy within families. This view presupposes that the family is a context for learning and that program development and relationships between teachers and students should build on the social fabric of the family unit.

While the bifurcation of these premises persists, the line of distinction between the two becomes less clearly defined as interest in and information about families, cultural issues, and social contexts for literacy learning increase. Both premises are useful: many parents want assistance in using school-like models for literacy and it is not only possible but, in fact, essential to use parents' knowledge in developing instruction and integrating their interests into the curriculum. The demarcation between the two premises may not be entirely inappropriate, however. While models based on the first premise hold promise for improving the literate abilities of parents and children, models based on the second provide for understanding the family as a source and user of knowledge. What the first may provide us in measurable terms (e.g., test score gains) over the short term, the second may allow us to sustain in interest and participation within family literacy programs.

LINKING LITERACY TO FAMILY SUPPORT

Literacy is a vital part of discussions in family support (Powell, 1991). In the formation of family-centered efforts in programs such as Head Start, literacy is linked generally to employment possibilities and opportunities for adults in low-income homes or to the improvement of children's performance in school, as in the case of Even Start. A goal of this work is to improve the self-sufficiency of parents and children in a family and to assist them in acquiring skills and knowledge necessary to access appropriate services and resources. Although literacy is considered an important component of the systems ideology of family support, its inclusion often focuses exclusively on basic skills (e.g., knowing how to identify useful information and resources). Higher order skills and problem-solving skills (e.g., knowing how to access and use information and resources effectively) are not examined, nor are the purposes for literacy to achieve long-term personal, family, or educational goals. Yet, these goals define who the family is and what family members might achieve intergenerationally.

The recent policy impetus for family support efforts provides an important venue to converging two critical domains of study: families and literacy. By bringing together these two domains, there is an opportunity to explore family systems development and its relationship to literacy beliefs and practices and to identify ways to support families in gaining and retaining access to literacy education. However, literacy research and practice lag in

the areas of family functioning and family development. Most literacy studies, including those discussed in the previous sections, focus primarily on developmental theories and controlled studies of reading with children as the center of research.

This present point in the history of literacy and family support is a critical and appropriate juncture to frame the issues in family learning and to establish a rigorous area of research and practice. In doing so, the nature and structure of the family is studied—as a cultural, structural, and lifespan unit. Issues such as family stressors and family strengths figure prominently into discussions as do the debilitating effects of poverty and societal abuse. The two critical domains, studied in tandem, might examine intensively how families develop as systems, how the development of such systems encourage or reduce opportunities for literacy, how institutional structures contribute to the perceptions of opportunity, and how family systems and institutional forces can work together to eliminate the real or perceived obstacles to literacy education for family members. The alternative is to continue to focus on family literacy in isolation of the many configurations and issues within families and communities.

A convergence of families and literacy as a research domain stresses the cross-disciplinary nature of literacy research and practice (e.g., the interrelationships among contributing disciplines—reading, sociology, psychology, and social work). An integrative approach to family literacy would examine the family as a social context for literacy, developed as a function of the different roles individuals play as family members, rules within the home, expectations of family members, and home to school and work transitions. The focus on families and literacy considers or weighs the role of literacy in families with varying levels of social support and in shared and nonshared environments. The field also examines relationships among family members within and outside the home, expanding discussions to include nontraditional topics such as father-child literacy development and the changing roles of women (mothers) and men (fathers) in families. By emphasizing the equal importance of families and literacy, the field allows itself to be informed about how individuals in different kinds of family constellations make choices among social options available to them, interpret literacy for enacting options against changing economic conditions and structures, and communicate options intergenerationally. In short, this view would expand the field to focus on the conditions and circumstances that affect families and seek to understand the most effective ways to meet the multiple and varied educational needs and social demands within different home settings.

A beginning point in the conceptualization of an integrative approach is to examine family systems theories (e.g., family relationships, family structure, and kinship) that focus on family behaviors and interactions (Elder, 1984; Walker & Crocker, 1987), then to explore how these behaviors and interactions intersect or connect with literacy. Coupled with existing research on family literacy models and practice (e.g., Auerbach, 1989; Edwards, in press; Taylor & Dorsey-Gaines, 1988), this research would contribute not only to the knowledge base but also to a comprehensive approach for family literacy assistance and educational access. Family literacy conceived in this way is tied to family support generally and widens our understanding of how family functioning—as a cultural, social, and historical phenomenon—affects

the interpretations and enactments of literacy in the daily lives of family members, including how and when it may be perceived as restrictive within different contexts. Thus, the purpose of family literacy support would not be limited to the mission of some programs "to break the cycle of illiteracy" but would also ensure that options are available for families (not for programs alone) to determine how to break the cycle of problems facing family members and to construct and achieve their own life-defined system of self-sufficiency.

CONCLUSION: CONCEPTUAL CONSIDERATIONS

The basic premise of this report is that family literacy provides an umbrella concept for existing and future work on parent-child literacy, emergent literacy, family support, and intergenerational learning. The lifespan family developmental issues that have been associated with family studies and developmental psychology are equally applicable to families in which one or more members is engaged in literacy learning. What the field lacks is an integrative approach to family literacy that provides family literacy researchers, practitioners, and learners themselves with the widest array of options to develop literacy. If family literacy is to have the advantage of the broadest set of contributions across disciplines, it should be located along a continuum of educational and family support—not limited to practice *or* research or confined to education *or* human services.

A conceptual framework for family literacy must integrate different and apparently competing ideas. For example, a conceptual framework should be developed around the family unit as the primary focus of the effort, encouraging both greater understanding of family interactions and knowledge of family development. Second, the field should state its assumptions about the reciprocal relationships between children and parents and other members of the family as these relationships promote or obstruct literacy opportunities and learning. Here family members are the sources of information about their needs and the co-constructors of knowledge within the classroom or program. Third, the cultural, ethnic, and social contexts for learning should be more than an allusion in the text but an identifiable feature of instruction and planning. Issues such as the expectations of women and children in various cultures, the anti-*machismo* label sometimes attached to men seeking literacy in different communities, and the potential awkwardness of children and parents learning together are basic concerns for gaining and sustaining access in communities and within populations that have been identified as the "hardest to reach." Fourth, not unlike what is needed in K-12 and adult literacy programs, a new relationship is called for between programs and families and between teacher and student if mutual understanding of the purposes of learning and instruction is to be achieved. By doing so, family literacy efforts may be the instrument for K-12 and adult literacy programs to connect parents, schools, and children effectively.

A central feature of a framework in family literacy is the establishment of an identity, inclusive but distinctive from intergenerational learning. All learning that includes more than one generation may be called intergenerational literacy. However, there is little in family literacy research or practice thus far to suggest that intergenerational literacy is occurring at the onset of or during family literacy efforts. That is, there is little evidence that literacy abilities, skills, and knowledge are being transferred from one generation to another as a function of family literacy participation. Such data have emerged from studies that examine family issues in historical context or outside of literacy programs (e.g., Anderson, 1988; Heath, 1983; Leichter, 1974; Taylor & Dorsey-Gaines, 1988); however, few results from current studies are available. Until these data are forthcoming, the efforts in family literacy will need to focus on what happens over time within the family unit engaged in literacy, examining the implications for intergenerational learning. Family literacy and the reciprocal relationships around learning must be the fundamental area of research. Through family literacy programs and research, we will be able to determine the degree to which (a) intergenerational learning occurs; (b) literacy beliefs, attitudes, and behaviors change; and (c) access to literacy is sustained within and across multiple generations.

Family literacy learning must be conceptualized broadly—as a lifelong activity which may change as life needs change. Unlike many family support programs, particularly those that focus exclusively on one issue such as parenting, family literacy programs are based upon an expanding set of assumptions about teaching and learning. Inherent in these assumptions is the belief that the family is an educative community in which shared learning experiences occur. While family literacy and adult literacy are separated in many discussions, adult literacy, when it seeks to engage learners within and around context, includes a focus on the family; family literacy programs that are responsive to the life and learning needs of their learners are able to capture the issues of adult family members, many of whom are parents. What is yet unresolved is where adult literacy ends and family literacy begins, or whether there is or needs to be a beginning or ending point to either. When adult literacy programs focus on adults' learning needs as workers, potential workers, and parents, are these programs family literacy programs? Are adult literacy and family literacy overlapping concepts, if not in research, possibly in practice? The context for constructing these questions, the frameworks for answering them, and the opportunities to implement an appropriate response are located in much needed discussions about the cross-cutting concerns at the levels of practice, policy, and research.

At the level of practice, programs designed for families, similar to adult literacy programs generally, must move a step farther than focusing on the purposes and functions of literacy for learners; they need to be able to provide intensive instruction and support. In addition to identifying learners' purposes for literacy, programs should position themselves through community and educational networks to create a range of new opportunities for learners seeking to expand their own intellectual growth, determine their own destinies, and promote the development of their children and other family members. Second, although interviews with learners constitute a useful and effective approach to understanding their needs, data from interviews are increased in value when the information can be contextualized within the household and the community. Practitioners and program developers will need not only to survey the students who walk through the

doors of the program but also to develop a sense of the household and community and the nature and quality of the supports that exist in these contexts. Third, assessment measures need to provide information about the literacy levels of program participants but should also inform the program about the family. Assessment here is not designed to determine progress along reading growth curves alone but encompasses change, lack of change, or reconfigurations of family literacy behaviors, attitudes, self-perceptions, and personal and family capacity. In addition, assessment provides some indications of lifespan changes in the family that affect learning by program participants and examines questions, for example, about the impact on parent and child literacy over time.

Fourth, programs must be developed around a set of assumptions about children and family learning that is not limited to a desire to break the cycle of illiteracy solely but which also enables family members to construct useful meanings and definitions of literacy that advance the goals, aspirations, and expectations of the adult and/or child learner within the family unit. Fifth, programs need to be able to outline their missions, delineating clearly the primary purposes of the program. That is, does the mission revolve around adult needs, one of which includes ensuring the development of children, or around child-parent needs, focusing exclusively on preparing parents to help their children read? Sixth, literacy support efforts must help families reconcile their cultural and social experiences with the literacy instruction to which they are exposed in programs. Thus, practitioners will need to focus on multicultural issues of instruction and learning. To do so, they will need to integrate what is learned through interviews and assessments in order to develop culturally appropriate curricula and materials and may need to be prepared to confront and respond, as necessary, to issues of race and racism that emerge in discussions. Not only should instruction be framed around the cultural and social experiences of the learners in programs but program organization and development should also be aware of and sensitive to the demands of the family, particularly family members who figure prominently in the ability of family learners to access, learn, use, and sustain interest in literacy.

At the level of policy, family literacy support must be coordinated within the broader network of family support, serving as a stabilizer for families and increasing their collective and individual capacity to make the connections across support systems. The issues of access to programs, economic hardship, social maladies, and institutional barriers must be considered within the context of intergenerational learning. Literacy for employment alone is a limiting concept which promotes the belief that literacy learning is a finite process, rather than a continuous activity over the lifespan. However, the difficulty that certain groups experience in gaining access and sustaining themselves within the labor market is a critical issue which is not insignificant to discussions of literacy. In low-income communities where many family literacy programs are targeted for African-American and other families of color, the programs address only a small, and, for some participants, relatively unimportant part of the problems facing them, problems that they see as centered in the ability to obtain employment. The appearance, if not reality, of a declining economy and labor force have been evidenced in low-income communities through increases in lay-offs, the reminders of "last hired-first fired" for many people of color, a growing crisis of labor force participation among African-American males, and crime

and hopelessness that occur in tandem or shortly after economic hardship and crisis. Welfare reform and family support initiatives are tied to the ability of individuals to utilize existing literacies and to develop new ones. Social policies that structure opportunities for people to use and value such learning and support their families are fundamental to any national or local agenda of family support as are policy investments in a developmental approach to strengthening families over time.

At the level of research, investigators should (re)assume leadership in developing the field, including practitioners in their work. Both experimental and field-based studies are needed in adult literacy and K-12 programs, focusing on the reciprocal relationship between literacy within families and schools. Such studies need to focus on the entire family—mothers *and* fathers, grandmothers and grandfathers, other adults, and children who are considered integral to the family structure. The participation of fathers and other adult family members in children's literacy development is conspicuously limited in literature from research and practice. In addition, family literacy needs a lifespan approach which allows the field to examine how literacy is parsed by life circumstances and how life circumstances affect literacy within families. Two basic questions in relation to this are who are the families and how do they describe their own family trajectories? Research might assist in responding to these questions through studies that do not simply examine what happens to children at school but also before and after school, and what happens to adult learners in programs, before a session, or after a session. This is not to suggest that the focus on school or program learning should be reduced or that schools or programs not be held accountable for ensuring that learning and effective instruction occur. However, researchers might ask (or continue to ask in some cases) what learners negotiate in their learning across contexts and how the process of learning and negotiation by the learner can be understood better as an intergenerational activity and utilized more effectively as a model or tool for studying intergenerational literacy.

Although family literacy has evolved from previous work in reading, the current and future focus encompasses a much wider set of goals and populations—from intergenerational learning to lifespan development. Constructs must be developed that weigh the family needs against the apparent advantages of literacy; that is, they must attend to utilitarian and lofty perspectives about the value of literacy and the valuing of the home as a contributing context to family members' development. Such constructs can be extracted from existing research on family development and the broad base of work of literacy, K-12 to adult literacy. What constitutes literacy support to different families can be answered by the families themselves and the purposes of literacy will be decided by them. The issues facing the field are how to (a) confront the complexity of conceptual issues that weaken the possibilities for the field, (b) project an agenda of rigorous research and practice which provides for intensive instruction and support to families, and (c) incorporate what has been learned about families to coordinate efforts for literacy learners across the lifespan and multiple generations.

ENDNOTES

1 This figure, like recent figures from the Census Bureau, has been disputed by several groups, arguing that the figure underestimates the number of homeless.

2 Preliminary findings from field work and studies (e.g., Paratore, 1992) provide short-term results of intervention programs with parents and children.

3 Recent data from the National Adult Literacy Survey (NALS) suggest that higher order literacy and problem-solving abilities are weak for almost one-half the population.

4 Smith (1993) structures the concept of self-sufficiency within the context of family functioning and access to financial, social, educational, and emotional resources. Smith suggests that issues such as literacy be examined within the lifespan development and functioning of families, particularly as children's needs reconfigure parents' strategies for coping with family demands.

5 The term *field* is used in a futuristic sense to connote the result of efforts such as those in family literacy.

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APPENDIX A

Table 1

Literature Categories

Study Category	No. of Studies	Type of Study				Major Issues Addressed
		Ex-S	T-R	CS-E	P-C	
Parent-Child Relationships and Reading	8	8				•mother-infant relationships •reciprocal interaction •sibling-sibling problem-solving tasks
Parent-Child Early Reading	40	18	17	5		•attachment and emergent literacy •parent-child bookreading •literacy before schooling
Parent/Family Beliefs and Socialization	19	9	10			•parental values and expectations
•Parent and Family	(9)	(6)	(3)			•analysis of beliefs and attitudes
•Socialization Issues	(10)	(3)	(7)			•family influences on cognitive development
Family and Intergenerational Literacy	39	3	16	1	19	•intergenerational literacy •benefits of family literacy
•General Issues	(10)	(2)	(5)	(1)	(2)	•home and school influences on literacy
•Family Literacy Models	(11)	(1)	(5)		(5)	
•Family Literacy Programs	(18)		(6)		(12)	
Family Involvement/ Family-School Connections	29	12	13	2	2	•parents' attitudes •school policy/parent involvement
•Parent Involvement	(18)	(9)	(6)	(1)	(2)	•parents as educators
•Family-School Connections	(11)	(3)	(7)	(1)		
Family and Parent Education	26	6	10		10	•history of family and human development
•Family Programs	(15)	(3)	(7)		(5)	•family education programs
•Parent Education/Parent Learning	(11)	(3)	(3)		(5)	
Contextual/Cultural Issues	12	2	8	1	1	•relationships between home environment and school performance •literacy and cultural identity •ethnographic perspectives on literacy acquisition
Total Number of Studies	173	58	74	9	32	

Ex-S   Experimental Studies or Surveys
T-R   Theoretical Papers or Reviews
CS-E   Case Study or Ethnography
P-C   Program Models of Curricula